WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



A29

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

	(11) International Publication Number:	WO 93/04117
A1	(43) International Publication Date:	4 March 1993 (04.03.93)
	Department (C.A. McNally),	101 Columbia Road, P.O.
-,	-	
		rt.
TĒ, La 7040 (U e, Mor	v-). is	
	S92/0673 (12.08.9 1) U 1.91) U 01 Colum 7962-224 ridge Av TTE, Lav 7040 (US	(43) International Publication Date: (74) Agent: ROONEY, Gerard, P. Department (C.A. McNally), Box 2245, Morristown, NJ 07 (81) Designated States: CA, JP, Euro DE, DK, ES, FR, GB, GR, I Published

(54) Title: MELT PROCESS FORMATION OF POLYMER NANOCOMPOSITE OF EXFOLIATED LAYERED MATERIAL.

(57) Abstract

This invention relates to a process of forming a polymeric nanocomposite comprising a continuous polymeric phase formed from a melt processible polymer having a melt processing temperature equal to or greater than about 220 °C and platelet particles having an average thickness equal to or less than about 50 Å, and a maximum thickness of about 100 Å having a secondary or primary ammonium cationic complex, a quaternary phosphonium cationic complex bonded to surface of said particles, the composite material formed by said process and an article formed from the composite material.

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT AU BB BE BF BG CF CCH CC CCH CC CCH CC CCH CC CC CC CC CC	Austria Australia Barbados Belgium Burkina Faso Bulgaria Benin Brazil Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon Czechoslovakia Czech Republic Germany Denmark Spain	FI FR GA GB GR HU IE IT JP KP LI LU MC MG MI	Finland France Gabon United Kingdom Guinea Greece Hungary Ireland Italy Japan Democratic People's Republic of Korea Republic of Korea Liechtenstein Sri Lanka Luxembourg Monaco Madagascar Mali	MN MR MW NL NO NZ PL PT RO SE SK SU TD TC US	Mongolia Mauritania Malawi Netherlands Norway New Zealand Poland Portugal Romania Russian Federation Sudan Sweden Slovak Republic Senegal Soviet Union Chad Togo Ukraine United States of America
--	--	--	---	--	---

15

20

25

MELT PROCESS FORMATION OF POLYMER NANOCOMPOSITE OF EXFOLIATED LAYERED MATERIAL

5 1. Field of the Invention

This invention relates to a process for forming a composite material comprising a polymer matrix having dispersed therein platelet particles, derived from swellable intercalated layered materials, and to composite material formed by the process of this invention. More particularly, this invention relates to such a process where the intercalated layered material has layers which are compatible with the polymer of the matrix, such that during the process the polymer-compatible layers of the intercalated material dissociate one from the other to form platelet fillers.

2. Prior Art

A specific category of polymer nanocomposites has been described as a composite material comprising a polymer matrix containing a polyamide having uniformly dispersed therein layers of silicate. Such nanocomposites are described in US Pat No. 4,739,007 and No. 4,810,734, Deutsches Pat. 3808623 A1, Japanese Patent J 02 208358 A, and technical publications by the patentees (J. Inclusion Phenomena 5, (1987), 473-483; Clay Minerals, 23, (1988),27; Polym. Preprints, 32, (April 1991), 65-66; and Polym. Prints, 28, (August 1987), 447-448.

30

35

SUMMARY OF THE INVENTION

This invention relates to a process for forming a polymeric composite which comprises platelet particles dispersed in a polymeric matrix which comprises the steps of:

(a) forming a "flowable mixture" comprising a melt-processible polymer and a swellable and polymer-

Ē

10

15

20

25

30

35

compatible intercalated layered material having layers that are compatible with said polymer which are compatibilized with one or more "effective swelling/compatibilizing agents" selected from the group consisting of cations of the formula: ${}^{\dagger}NH_{3}R_{1}$, ${}^{\dagger}NH_{2}R_{2}R_{3}$ and ${}^{\dagger}PR_{4}R_{5}R_{6}R_{7}$ wherein:

 $R_{\rm l}$ is an organic radical having at least about 12 aliphatic carbon atoms;

 R_2 and R_3 are the same or different and are organic radicals having at least about 5 carbon atoms; and

 R_4 , R_5 , R_6 and R_7 are the same or different and are organic radicals including at least one which has at least about 8 aliphatic carbon atoms; and

(b) subjecting said mixture to a shear having a shear rate which is sufficient to dissociate all or a portion of said layers one from the other to form platelet particles having an average thickness equal to or less than about 50 Å, and preferably having a maximum thickness of about 100 Å, and to uniformly disperse said platelet particles in said polymer to form said polymer composite.

As used herein, "melt processing temperature" means the polymer has a melt viscosity of less than about 5000 Pascal se at a shear rate of 100 sec-1 as measured by capillary rheometry when the polymer is in the melt.

The process is directed toward preparation of polymer composites wherein the dispersed phase comprises platelet particles having two flat opposite faces, the thickness of which particles is the distance between these faces. The thickness is relatively small compared to the size of the flat opposite faces. Dispersing such finely divided platelet particles imparts a very large area of contact between polymer and particles for a given volume of particles in the composite and a high degree of homogeneity of the composite with respect to the particular effect of the dispersed particles. Platelet particles of high

3

strength and modulus, dispersed at sub-micron size (nanoscale), impart greater mechanical reinforcement to the polymer matrix than do comparable loadings of conventional reinforcing fillers of micron size.

Nanoscale barrier layers impart lower permeability to polymers than do comparable loadings of conventional barrier fillers.

The process of this invention exhibits several advantages over monomer blending and polymerizing processes of the type described in U.S. Patent Nos. 10 4,810,734 and 4,739,007, also referred to herein as inreactor processes. These advantages include utility for a broader range of matrix polymers; utility for a wider range of composites having the same matrix polymer due to a larger selection of 15 swelling/compatibilizing agents, each having a distinct bonding interaction with both the polymer and the platelet particle; and greater control over the molecular weight distribution of the matrix polymer. For example, virtually any polymer material that can be 20 made to flow can be compounded with nanoscale particles derived from intercalated layered materials which exfoliate during mixing in accordance with the process of this invention. In contrast, the monomer blending 25 and polymerizing processes of the prior art are restricted to polymers whose monomers are compatible with layered materials and can be polymerized effectively in the presence of the layered material. In the process of the present invention, the 30 compounding entails no special conditions specific to selected polymer molecular weight distributions. On the other hand, in-reactor processes of the prior art require special polymerization conditions for each selected molecular weight distribution due to the effect of a dispersed phase on reaction mixture 35 viscosity and polymerization kinetics. Virtually any loading of intercalated layered material is possible in

the process of this invention , whereas in-reactor compounding of the prior art processes may be practically limited to loadings that permit polymerization to proceed to a selected molecular weight.

Another advantage results from the fact that the swelling/compatibilizing agents used in this invention are secondary and primary ammonium and quaternary phosphonium cation complexes containing specific number of aliphatic carbon atoms. Use of these 10 swelling/compatibilizing agents provides for several advantages over other process where the swelling/compatibilizing agents are tertiary and quaternary ammonium cation complexes, and secondary and primary ammonium and quaternary phosphonium cation 15 complexes containing less than the required number of aliphatic carbon atoms. For example, the specific swelling/compatibilizing agents of this invention cover the layers of the layered materials to render their surfaces more organophilic than those compatibilized by 20 tertiary and quaternary ammonium cation complexes and those compatibilized with secondary and primary ammonium cation complexes and quaternary phosphonium cation complexes having less than the required number 25 of aliphatic carbon atoms. The specific swelling/compatibilizing agents of this invention facilitate exfoliation of the layered material into platelet particles in the polymer melt employing reduced shear mixing resulting in less decomposition of the polymer and reduction in molecular weight. 30 specific swelling/compatibilizing agents of this invention are more heat stable than other cationic swelling/compatibilizing agents such as tertiary and quaternary ammonium cation complexes and secondary and primary ammonium and quaternary phosphonium cationic 35 complexes having less than the specified number of aliphatic carbon atoms. The result is that the

15

20

30

35

swelling/compatibilizing agents of this invention do not substantially decompose at melt processing temperatures equal to or greater than about 220°C into lower molecular weight materials which degrade polymers in the matrix or which are hazardous, either as vapors evolved during melt processing, or in articles such as films in food and drug packing.

As a further advantage of the present process, unreacted monomer can be removed prior to forming the nanocomposite. This facilitates monomer removal, for example by solvent extraction, since dispersed particles can interfere with this process.

Another aspect of this invention relates to a composite material comprising a polymeric matrix which comprises a melt processible polymer having uniformly dispersed therein platelet particles having an average thickness equal to or less than about 50 Å and preferably having a maximum thickness equal to or less than about 100 Å, said platelets having surfaces which are compatibilized with one or more "effective swelling/compatibilizing agents" selected from the group consisting of cations of the formula:

+NH₃R₁, +NH₂R₂R₃ and +PR₄R₅R₆R₇ wherein:

 R_1 is an organic radical having at least about 12 aliphatic carbon atoms;

 $\rm R_2$ and $\rm R_3$ are the same or different and are organic radicals having at least about 5 carbon atoms; and

 R_4 , R_5 , R_6 and R_7 are the same or different and are organic radicals having at least one which has at least about 8 aliphatic carbon atoms.

The polymeric compositions of this invention exhibit one or more advantages over prior art composites as for example those described in U.S. Patent Nos. 4,739,007; 2,531,396 and 4,410,734; Deutsches Pat. 3,808,623 A1; Japanese Patent No. 02208358A and EPA 0,398,551; 0,358,415; 0,352,042 and 0,398,551. For example, the composite of this

invention exhibits improved properties such as improved tensile yield strength, tensile modulus and/or ultimate elongation. In addition, they exhibit superior ability to fix acidic dyes.

5

DESCRIPTION OF THE PREFERRED EMBODIMENTS OF THE INVENTION

The first step of this invention comprises forming a "flowable mixture" comprising one or more polymers in a "polymer melt" and at least one "swellable and 10 polymer-compatible intercalated layered material" which comprises polymer-compatible layers that are compatible with said polymers. As used herein, a "flowable mixture" is a mixture which is capable of flowing at the submicron scale so that the layered materials may exfoliate into platelet particles comprising individual or a small multiple of layers, which may in turn disperse within the polymer mixture. As used herein, a "polymer melt" is a melt processible polymer or mixture of polymers which has been heated to a temperature 20 sufficiently high to produce a viscosity low enough for submicron scale mixing to occur. Temperatures used in the first step are not critical and can be varied widely as desired provided that the polymer employed is in the state of a polymer melt. In the preferred 25 embodiments of the invention, process temperature should be at least as high as the melting point of the particular polymer employed, and below the degradation temperature of the polymer. In the more preferred embodiments of this invention, where the polymer is a 30 thermoplastic polymer, the process temperature is such that the polymer will remain in the polymer melt during the conduct of the process. In the case of a crystalline thermoplastic polymers, the temperature is above the polymer's melting temperature. For example, 35 a typical nylon 6 having a melting point of about 225°C can be melted in an extruder at any temperature

equal to or greater than about 225°C, as for example between about 225°C and about 325°C. For nylon 6, a temperature of preferably from about 250°C to about 260°C is normally employed. In the cases of amorphous thermoplastics and vulcanizable rubbers, it is a temperature at which the viscosity is sufficiently low that processing of the polymer can be performed by conventional means.

The manner in which the flowable mixture is formed is not critical and conventional methods can be 10 employed. For example, the flowable mixture can be prepared through use of conventional polymer and additive blending means, in which the polymer is heated to a temperature sufficient to form a polymer melt and combined with the desired amount of the intercalated 15 layered material in a granulated or powdered form in a suitable mixer, as for example an extruder, a Banbury Mixer, a Brabender mixer, a continuous mixer and the The polymer melt containing nano-dispersed delaminated layered material may also be formed by 20 reactive extrusion in which the layered material is initially dispersed as aggregates or at the nanoscale in a liquid or solid monomer and this monomer is subsequently polymerized in an extruder or the like. 25 Such monomer or other reactive solid or liquid dispersion can be injected into a polymer melt containing one or more polymers in an extruder or other mixing device. The injected liquid may result in new polymer or in chain extension or grafting to the polymer initially in the melt. Alternatively, the 30 polymer may be granulated and dry mixed with the intercalated layered material, and thereafter, the composition may be heated in a mixer until the polymer is melted forming the flowable mixture. As described above, the flowable mixture is, in the second step, 35 subjected to a shear in a mixer sufficient to form the

dispersed nanocomposite structure of platelet particles in the polymer melt, and it is thereafter cooled.

Best results are generally obtained when the flowable mixture includes as little water as possible in order to avoid hydrolytic cleavage of the polymer and/or generation of void defects. Some polymers such as polyolefins can tolerate more than about 0.25% water by weight during melt processing, while others (such as condensation polymers as for example polyamides and polyesters) may be degraded if processed with water content greater than about 0.1% by weight. Consequently, in the most preferred embodiments, both the polymer and the intercalated layered material are rigorously dried and contain substantially no water. Ideally, the intercalated layered material is free of 15 water that can be desorbed at temperatures up to the processing temperature. However, good results can be obtained when the intercalated layered material contains as much as about 2% by weight water and comprises less than about 5% by weight of the flowable 20 mixture. For compounding with condensation polymers, the intercalated layered material preferably contains less than about 1% by weight , more preferably less than about 0.5% by weight water and most preferably less than about 0.25% by weight water. 25

As a first essential ingredient, the flowable mixture includes a "swellable and polymer-compatible intercalated material". As used herein, a "swellable and polymer-compatible intercalated layered material" is a swellable layered material intercalated by a neutral or ionic intercalant or intercalants which act to weaken the interlayer cohesive energy by swelling the interlayer distances and which function to increase the compatibility and bonding of the layers with the polymer matrix by having attractive interactions with both the layers and the polymer.

Swellable layered materials are materials comprising planar layers arrayed in a coherent, coplanar structure, where the bonding within the layers, is stronger than the bonding between the layers such that the materials exhibit increased interlayer spacing in their intercalation compounds. effective swelling/compatibilizing agents may be introduced into the interlayer spaces by either insertion, in the case of neutral molecules, or ion exchange, in the case of ions. The effective 10 swelling/compatibilizing agents may be introduced in the form of a solid, liquid, gas, or solute. effective swelling/compatibilizing agents may be introduced into the spaces between every layer, nearly every layer, or a large fraction of the layers of the 15 layered material such that the resulting platelet particles comprise less than about 10 layers in thickness. The platelet particles are preferably less than about 8 layers in thickness, more preferably less 20 than about 5 layers in thickness, and most preferably, about 1 or 2 layers in thickness.

Any swellable layered material having the above referenced characteristics may be used in the practice of this invention. Useful swellable layered materials include phyllosilicates. Illustrative of such 25 materials are smectite clay minerals such as montmorillonite, nontronite, beidellite, volkonskoite, hectorite, saponite, sauconite, magadiite, and kenyaite; vermiculite; and the like. Other useful layered materials include illite minerals such as 30 ledikite and admixtures of illites with the clay minerals named above. Other useful layered materials, particularly useful with anionic polymers, are the layered double hydroxides, such as Mg₆Al_{3,4}(OH)_{18,8}(CO₃)_{1.7} H₂O (see W.T. Reichle, J. Catal., 94 (12985) 547), 35 which have positively charged layers and exchangeable anions in the interlayer spaces. Other layered

ş

35

materials having little or no charge on the layers may be useful in this invention provided they can be intercalated with swelling agents which expand their interlayer spacing. Such materials include chlorides such as ReCl₃ and FeOCl, chalcogenides such as TiS₂, MoS₂, and MoS₃, cyanides such as Ni(CN)₂, and oxides such as H₂Si₂O₅, V₆O₁₃, HTiNbO₅, Cr_{0.5}V_{0.5}S₂, W_{0.2}V_{2.8}O₇, Cr₃O₈, MoO₃(OH)₂, VOPO₄-2H₂O, CaPO₄CH₃-H₂O, MnHAsO₄-H₂O, Ag₆Mo₁₀O₃₃, and the like.

Preferred swellable layered materials are those 10 having charges on the layers and exchangeable ions such as sodium cations, quaternary ammonium cations, calcium cations and the like between the layers which can be intercalated by effective swelling/compatibilizing agents by an ion exchange mechanism. More preferred 15 layered materials are those having negative charges or basic sites on the layers, preferably at least about 20 basic sites per 100 g of material, more preferably at least about 50 basic sites per 100 g of material and most preferably from about 50 to about 120 basic sites 20 per 100 g of material. Most preferred swellable layered materials are phyllosilicates having a negative charges on the layers ranging from about 0.2 to about 0.9 charges per formula unit and a commensurate number 25 of exchangeable cations in the interlayer spaces. Particularly preferred layered materials are smectite clay minerals such as montmorrillonite, nontronite, beidellite, volkonskoite, hectorite, saponite, sauconite, magadiite, and kenyaite, with hectorite and montmorilonite having from about 20 basic sites to 30 about 150 basic sites per 100 g material being the layered material of choice.

Swellable layered materials, such as the preferred smectite clay materials generally require treatment by one or more intercalants to provide the required interlayer swelling and/or polymer compatibility. The resulting interlayer spacing is critical to the

11

performance of the intercalated layered material in the practice of this invention and interlayer spacing must be sufficiently large to allow for the desired exfoliation of the layers during the process. As used herein the "inter-layer spacing" refers to the distance between the faces of the layers as they are assembled in the intercalated material before any delamination (or exfoliation) takes place. The preferred clay materials generally include interlayer or exchangeable 10 cations such as Na⁺, Ca⁺², K⁺, Mg⁺² and the like. this state, these materials do not delaminate in host polymer melts regardless of mixing, because their interlayer spacings are usually equal to or less than about 4 Å consequently the interlayer cohesive energy 15 is relatively strong. Moreover, the metal cations do not aid compatibility between layers and the polymer melt. In the preferred embodiments, these layered materials are intercalated by swelling agents of sufficient size to increase interlayer spacing to the 20 desired extent. In general, the interlayer spacing should be at least about 4 Å, as determined by x-ray diffraction, in order to facilitate delamination of the layered material at the nanoscale. In the preferred embodiments of the invention, the interlayer spacing is 25 at least about 6 Å and more preferred interlayer spacings are at least about 8 Å. Most preferred interlayer spacings are equal to or greater than about 10 Å. In the embodiments of choice interlayer spacings are at least about 15 Å.

In order to further facilitate delamination of layered materials into platelet particles and prevent reaggregation of the particles, these layers are intercalated by the effective swelling/compatibilizing agents of this invention. These agents consist of a portion which bonds to the surface of the layers and another portion which bonds or interacts favorably with the polymer in the matrix. The agent of this invention

12

ê

remains bonded to the surface of the layers during and after melt processing as a distinct interphase that is different from the bulk of the polymer matrix. agents preferably include a moiety or moieties which interact with the surface of the layers displacing, totally or in part, the original metal ions and which bonds to the surface of the layers; and includes a moiety or moieties whose cohesive energies are sufficiently similar to that of the polymer that the surface of the platelets is made more compatible with 10 the polymer, thereby enhancing the homogeneity of the dispersion in the polymeric matrix. As used herein "compatible" refers to the extent to which the polymer matrix and the surface coating on the platelet particles (the compatibilizing agent) have a favorable 15 interaction which promotes the intermingling of the matrix polymer and the surface layer in the interphase region. Compatibility derives from one or more of the following criteria: similar cohesive energy densities for the polymer and the derivatized platelets, similar 20 or complimentary capacities for dispersive, polar, or hydrogen bonding interactions, or other specific interactions, such as acid/base or Lewis-acid/Lewisbase interactions. Compatibilization will lead to an improved dispersion of the platelet particles in the 25 matrix and an improved percentage of delaminated platelets with a thickness of less than 50 Å.

The nature of the swelling/compatibilizing agent, swelling agent and/or compatibilizing agent will vary widely depending on the particular polymer and the particular layered material. The effective swelling/compatibilizing agent of this invention is selected from the group consisting of cations of the formulas:

35

30

 $^{+}NH_{3}R_{1}$, $^{+}NH_{2}R_{3}R_{3}$ and $^{+}PR_{4}R_{5}R_{6}R_{7}$

wherein:

10

 R_1 is an organic radical having at least about 12 aliphatic carbon atoms;

 R_2 and R_3 are the same or different and are organic radicals having at least about 5 carbon atoms; and

 R_4 , R_5 , R_6 and R_7 are the same or different and are organic radicals of which at least one has about 8 aliphatic carbon atoms.

Such ammonium and phosphonium radicals are well known in the art and can be derived from the corresponding amines and phosphines using conventional processors.

Illustrative of such suitable R₁, R₂, R₃, R₄, R₅, R₆ AND R₇ groups are suitable organic radical as for example alkyl, such as methyl, ethyl, octyl, nonyl, tert-butyl, neopentyl, isopropyl, sec-butyl, dodecyl 15 and the like; alkenyl such as 1-propenyl, 1-butenyl, 1-pentenyl, 1-hexenyl, 1-heptenyl, 1-octenyl and the like; alkoxy such as propoxy, butoxy, methoxy, isopropoxy, pentoxy, nonoxy, ethyoxy, octoxy, and the like; cycloalkenyl such as cyclohexenyl, cyclopentenyl 20 and the like; alkanoylalkyl such as butanoyloctadecyl, pentanoylnonadecyl, octanoyl pentadecyl, ethanoylundecyl, propanoyl hexadecyl and the like; amino; aryl such as phenyl, naphthyl and the like; alkylaryl such as nonylphenyl, octylphenyl tert-25 butylphenyl and like; alkylaminoalkyl, such as methylamino octadecyl, ethylamino pentadecyl, butylamino nonadecyl and the like; dialkylaminoalkyl, such as dimethylamino octadecyl, methylethylamino 30 nonadecyl and the like; arylaminoalkyl such as phenylamino octadecyl, p-methylphenylamino nonadecyl and the like; diarylaminoalkyl, such as diphenylamino pentadecyl, p-nitrophenyl-p'-methylphenylamino octadecyl and the like; alkylarylaminoalkyl, such as 35 2-phenyl-4-methylamino pentadecyl and the like; alkylsulfinyl, alkylsulfonyl, alkylthio, arylthio, arylsulfinyl, and arylsulfonyl such as butylthio

octadecyl, neopentylthio pentadecyl, methylsulfinyl nonadecyl, benzylsulfinyl pentadecyl, phenylsulfinyl octadecyl, propylthiooctadecyl, octylthio pentadecyl, nonylsulfonyl nonadecyl, octylsulfonyl hexadecyl, methylthio nonadecyl, isopropylthio octadecyl, phenylsulfonyl pentadecyl, methylsulfonyl nonadecyl, nonylthic pentadecyl, phenylthic octadecyl, ethylthic nonadecyl, benzylthio undecyl, phenethylthio pentadecyl, sec-butylthio octadecyl, naphthylthio undecyl and the like; alkoxycarbonylalkyl such as 10 methoxycarbonyl, ethoxycarbonyl, butoxycarbonyl and the like; cycloalkyl such as cyclohexyl, cyclopentyl, cyclo-octyl, cycloheptyl and the like; alkoxyalkyl such as methoxy-methyl, ethoxymethyl, butoxymethyl, propoxyethyl, pentoxybutyl and the like; aryloxyalkyl 15 and aryloxyaryl such as phenoxyphenyl, phenoxymethyl and the like; aryloxyalkyl such as phenoxydecyl, phenoxyoctyl and the like; arylalkyl such as benzyl, phenethyl, 8-phenyloctyl, 10-phenyldecyl and the like; alkylaryl such as 3-decylphenyl, 4-octylphenyl, 4-20 nonylphenyl and the like; aliphatic acid functions such as $-C_3H_6COOH$, $-C_5H_{10}COOH$, $-C_7H_{10}COOH$, $-C_7H_{14}COOH$, - $C_9H_{18}COOH$, $-C_{11}H_{22}COOH$, $-C_{13}H_{26}COOH$, $-C_{15}H_{30}COOH$ and $-C_{17}H_{34}COOH$ and a moiety of the formula:

25

30

35

$(-ZCH_2-CHR_9)_a-ZR_8$

wherein R_8 is alkyl, cycloalkyl, aryl, R_9 is hydrogen, alkyl, or aryl, q is an integer equal to or greater than 1 and Z is -O- or -NR₁₀-, where R_{10} is hydrogen, alkyl, aryl or alkylsilane and the like.

Useful swelling/compatibilizing agents may be non-reactive wit the polymer matrix but having certain attractive interactions with the polymer matrix such as entanglements, hydrogen bonding, or other specific interactions such as acid/base or Lewis acid/Lewis base interactions and the like. Other useful

ş

10

15

15

swelling/compatibilizing agents are reactive with a polymeric component in the polymer to form covalent bonds between matrix the swelling/compatibilizing agent and the polymeric component, or, in the case of secondary ammonium cations and quaternary phosphonium cations may include both reactive and non-reactive moieties. Useful reactive swelling/compatibilizing agents includes one or more substituents selected from the group consisting of organic radicals which are compatible with the polymer forming the composite and which has substituents which are reactive with the polymer such as nucleophilic or electrophilic moieties which are capable of electrophilic or nucleophilic displacement reactions coupling and ring opening reactions and the like as for example amino, carboxy, carboxylic acid, oxide, alkenyl, acelylene, acylhalide, acyloxy, hydroxy, isocyanato, ureido, halo, epoxy, epichlorohydrin, sulfuryl halide, mercapto, ester, and the like.

20 Swelling/compatibilizing agents containing reactive substituents are well known in the art and are selected for particular classes of matrix polymers based on the reactivity and specifically of the reactive moiety. For example polyamides are acid 25 terminated and/or amine terminated and polyesters are acid terminated or hydroxy terminated. Thereof, reactive function groups which react with acid, amine or hydroxy functions to form covalent bonds can be conveniently used with polyesters and polyamides. 30 Specific reactive functions such as -NH2, -N=C=O, - $CONH_2$, -OH, OTM^+ (where M is a metal cation), -C(O)X (where X is Cl, Br, or I) and the like in the reactive silane compound react with reactive functionalities contained in polyesters and polyamide. Similarly, 35 swelling, compatibilizing agents of this invention containing functions such as -NH2, CH2-X (where X is Cl, Br or I), -CH₂=CH₂,-SH, STM⁺ (where M⁺ is a metal cation

such as Na⁺, Li⁺ and K⁺) and S₄H can be conveniently reacted with polyolefins and halogenated polyolefins such as polyethylene, polypropylene, poly(chlorotrifluoroethylene) or polyolefin elastomers to form covalent bonds between the polyolefins and the reactive silanes. Likewise, polyvinyls such as poly(vinyl chloride), poly(co-ethylene vinyl alcohol) and the like can be reacted with swelling/compatibilizing agents of this invention containing -NH₂, -CH₂-S (wherein X is Cl, Br and I) -OH, -OM⁺ (where M is a metal cation such as LI⁺, Na⁺ and K⁺), and the like to form covalent bonds between the polymers and the swelling/compatibilizing agent of this invention.

Layered material derivatized with primary ammonium cations having less than 12 carbons are less preferred in most polymer mets except with exhaustive shear mixing. More preferred are those having 16 or more carbons. Most preferred are those having 18 or more carbons and those having more than one ammonium cation group. Illustrative of primary ammonium cations are protonated primary amines such as octadecylamine, arginine and diamine terminated polyethylene telomere (molecular weight of about 2600, ACX 614 obtained from Allied-Signal).

Organic radicals preferred secondary ammonium cations are those having four or more carbons. Most preferred are those having five or more carbons and those having more than one ammonium group.

Illustrative of these secondary ammonium cations are protonated amines such as dipentyl amine, bis(ethylhexyl)amine, piperidine, piperazine, hexamethyleneimine, and partially protonated polyethyleneimine. Secondary ammonium cations may comprise that one or more polymer-reactive moieties. Illustrative of preferred secondary ammonium cations

30

35

that are polymer-reactive are protonated 11- (hexylamino) undecanoic acid and bis(8-hexanol) amine.

Organic radicals preferred in phosphonium cations are disclosed in U.S. Patent No. 4,136,103. preferably include at least one having 8 or more carbons. More preferably, they include at least 2 groups of 8 or more carbons or at least one group of 16 or more carbons. Most preferably they include at least one group of 18 or more carbons. Illustrative of these 10 phosphonium cations are octyltributylphosphonium, hexadecyltributylphosphonium and vinylbenzyltriethylphosphonium. One or more of the moieties may be polymer-reactive. Illustrative of preferred phosphonium cations having reactive moieties are bis-(dimethylaminopropyl) dodecyl is 15 obutylphosphonium and bis-(hydroxypropyl) octadecylisabutyl phosphonium).

The swelling/compatibilizing agents are preferably selected from the group consisting of primary and secondary ammonium cationic complexes of the formula:

+NH₃R₁ or +NH₂R₃

wherein:

20

25

30

 $R_{\rm i}$ is an aliphatic radical having at least about 15 aliphatic carbon atoms, said radical optionally including one or more heteroatoms, carbonyl functions or a combination thereof; and

 R_2 and R_3 are the same or different and are aliphatic radicals having at least about four aliphatic carbon atoms, or R_2 and R_3 together may form a divalent aliphatic chain having at least about four aliphatic carbon atoms forming an alicyclic structure, said radical or chain optionally including one or more heteroatoms, carbonyl function or a combination thereof.

In the preferred embodiments of the invention $R_{\rm l}$ is an aliphatic radical having at least about 18 carbon atoms, said aliphatic radical optionally includes one

or heteroatoms, carbonyl functions or a combination thereof; and

 R_2 and R_3 are the same or different and are aliphatic radicals having at least five aliphatic carbon atoms or R_2 and R_3 together may form a divalent aliphatic chain having at least about five aliphatic carbon atoms, said aliphatic radicals and chain may optional include one or more heteroatoms, carbonyl functions or a combination thereof.

In the particularly preferred embodiments of the invention R₁, R₂ and R₃ are the same or different and are cyclozlkyl, cycloalkenyl, cycloalkynyl, alkyl, alkenyl or alkynyl or a moiety of the formula:

$$-R_{11}-Z_1-R_{12}$$

or R₂ and R₃ together may form a divalent moiety of the formula:

$$-R_{11}$$
-, $-R_{11}$ - Z_1 - R_{11} - or $-R_{11}$ - Z_1 -

completing an atcyclic ring, wherein:

-R_{II}- is the same or different at each occurrence 20 and is divalent, alkylene, cycloalkylene, cycloalkenylene, alkenylene or alkynylene;

 $-R_{12}$ is alkyl, alkylaryl, alkoxyaryl, alkenyl, alkynyl, aryl, cycloalkyl, or cycloalkenyl;

$$-Z_1$$
- is -O-, $-NR_{13}$ -, $-^+N(R_{13})_2$ -, -S-, -S(O)₂, -OC(O)-

25 or $-N(R_{13})C(0)$ - and

 R_{13} is hydrogen or alkyl having from 1 to about 4 carbon atoms.

In the most preferred embodiments of the invention:

 R_1 , R_2 and R_3 are the same of different and are alkyl; or R_2 and R_3 together may form a divalent moiety of the formula:

$$-R_{11}$$
- OR $-R_{11}$ - Z_1 - R_{11} -

Z, is -O-, -NH- or
$$-^+(R_{13})_2$$
-;

 R_{13} is hydrogen or alkyl of from 1 to about 4 carbon atoms.

30

Ę

Layered material may be derivatized by a single swelling/compatibilizing agent of this invention, or by a mixture of such agents, or by a mixture of one or more thermally stable onium cations with one or more 5 other swelling/compatibilizing agents such as one or more organo silanes or quaternary or tertiary ammonium radicals as for example such swelling/compatibilizing agents which have moieties which are compatible with and which are optionally reactive with the polymer forming the matrix. In the preferred embodiments of 10 the invention the moieties are such that the swelling and compatibilizing agents are lipophilic such that the surface tension, at 20°C, or the derivatized particle is preferably less than or equal to about 55 dyne/cm. More preferably, between about 55 and 15 dyne/cm, and 15 preferably between 45 and 20 dyne/cm, as determined by measuring the contact angles made by sessile drops of liquids on the solid surfaces.

Illustrative of such optional onium compounds are oxonium compounds of the formula:

$$X^+ - R_{14}$$

wherein X⁺ is a tertiary or quaternary ammonium radical and R₁₄ is an radical as for example substituted or unsubstituted alkyl, cycloalkenyl, cycloalkyl, aryl, or alkylaryl, either unsubstituted or substituted with amino, alkylamino, dialkylamino, nitro, azido, alkenyl, alkoxy, cycloalkyl, cycloalkenyl, alkanoyl, alkylthio, alkyl, aryloxy, arylalkylamino, alkylamino, arylamino, dialkylamino, diarylamino, aryl, alkylsulfinyl, aryloxy, alkylsulfinyl, alkylsulfonyl, arylthio, arylsulfinyl, alkoxycarbonyl, arylsulfonyl, alkylsilane, and a moiety of the formula:

$$(-ZCH_2-CR_{15})_q-ZR_{16}$$

wherein R₁₆ is alkyl, cycloalkyl, or aryl, R₁₅ is hydrogen, alkyl, or aryl, q is an integer equal to or greater than 1 and Z is -O- or -NR₁₇-, where R₁₇ is hydrogen, alkyl, aryl or alkylsilane. Illustrative of

PCT/US92/06732 WO 93/04117

20

another optional class of swelling/compatibilizing agents useful in the practice of this invention are silane coupling agents such as those of the formula:

 $-si(R_{18})_2R_{19}$

5 where R_{18} and R_{19} is the same or different at each occurrence and are alkyl, alkoxy or oxysilane such as trialkoxysilane compounds as for example octadecyltrimethoxysilane, gamma-aminopropyltriethoxysilane, gamma-aminopropyltrimethoxysilane, 10 gamma-aminopropylphenyldimethoxysilane, gammaglycidoxypropyl tripropoxysilane, 3,3epoxycyclohexylethyl trimethoxysilane, gammapropionamido trithoxysilane, N-trimethoxysilylpropyl-N(beta-aminoethyl) amine, trimethoxysilylundecylamine, 15 trimethoxy silyl-2-chloromethylphenylethane, trimethoxysilyl-ethylphenylsulfonylazide, Ntrimethoxysilylpropyl-N,N,N-trimethylammonium chloride, N-(trimethoxysilylpropyl)-N-methyl-N,N-diallylammonium chloride, trimethoxysylilpropylcinnamate, 3-20 mercaptopropyl trimethoxysilane, 3-isocyanatopropyltriethoxysilane, and the like; and R^{8} is selected from the group consisting of organic radicals which are compatible with the polymer forming the composite.

The amount of swelling agent/compatibilizing agent and swelling/compatibilizing agents intercalated into swellable layered materials useful in this invention may vary substantially provided that the amount is effective to swell and, preferably to compatibilize the layers of the intercalated layered material to the extent required to provide the desired substantially uniform dispersion. In the preferred embodiments of the invention where swelling/compatibilizing agents are employed, amounts of agents employed will preferably range from about 10 mmole/100 g of layered material to about 1000 mmole/100 g of layered material. preferred amounts are from about 20 mmole/100 g to

25

30

35

about 200 mmole/100 g. In the case of the preferred smectite clay minerals, the more preferred amounts are from about 80 mmole/100 g to about 120 mmole/100 g of layered material.

Swellable and polymer-compatible intercalated 5 layered material can be formed by any method. Preferably such materials are formed by intercalation of suitable agents or agents in the interlayer spaces of the swellable layered material by any suitable method. The swelling/compatibilizing agents are introduced into the interlayer spaces of the swellable layered material by any suitable method as, for example, by either insertion of neutral molecules or by ion exchange with ionic molecules, using conventional procedures. Insertion of neutral molecules may be 15 performed by exposing finely divided layered material to intercalants in the form of a gas, neat liquid, finely divided solid, or solute in a solvent which, preferably swells the layered material. Insertion is generally aided by exposure of the mixture of 20 intercalant and layered material to heat, ultrasonic cavitation, or microwaves. Ion exchange by ionic molecules may be performed by suspending the layered material in a relatively volatile liquid which is capable of both exfoliating and dispersing the layers 25 of the intercalated layered material and dissolving a salt of the ionic intercalant as well as the resulting salt of the ion displaced from the layered material (e.g., Na⁺, Mg⁺², Ca⁺²), adding the salt of the ionic intercalant, and removing the layered material (now 30 complexed with the new intercalant) from the liquid (now containing the dissolved salt of the displaced ion). For example, swellable layered minerals such as montmorillonite and hectorite (having primarily Na+ cations in the interlayer spaces) intercalate water to 35 the point that the layers are exfoliated and dispersed uniformly in water. Dispersion in water is generally

aided by mixing with relatively high shear. A suitable swelling/compatibilization agent such as the hydrochloride salt of dipentylamine is then added in the desired amount after which the layers complexed with the ammonium cation are separated from the dispersion, washed of residual NaCl, and dried. preferred embodiments of the invention, the swellable layered material is intercalated by ion exchange. For example, a suspension of a montorillonite or a saponite in water, may be heated to about 80°C and stirred using 10 a high speed homogenizer mixer, in a concentration low enough to yield a low viscosity dispersion from which non-dispersible particles can be separated by sedimentation (mineral concentration of about 2% by weight, or 5% to 15% with addition of a peptizing agent 15 such as sodium hexametaphosphate). The dispersion is combined with a solution of a suitable swelling/compatibilizing agent such as an ammonium salt (as, for example the hydrochlorides of octadecylamine, 11-aminoundecanoic acid, dioctylamine, piperidine, 20 dipentylamine, and the like such that the mole ratio of ammonium salt to exchangeable ions in the mineral is between 0.5 and 5. The amine-complexed layers may be separated from the solution by some suitable method such as filtration or centrifugation, followed by 25 rinsing in fresh water, rough drying, and ball milling to about 100 mesh powder. The powder may be rigorously dried at 100°C to 140°C in vacuum for 8 to 24 h in the presence of a drying agent such as phosphorous pentoxide, to provide the desired swellable/polymer 30 compatible intercalated layered material.

Intercalated layered materials intercalated with a mixture of the agents of this invention and silanes may be formed by treating a swellable and polymer-compatible intercalated layered material already intercalated with an agent of this invention with a silane coupling agent in a swelling liquid, such as

WO 93/04117

23

PCT/US92/06732

dioxane, glyme, diglyme, dimethylsulfoxide, methylethylketone, and the like, or by treating an 'aqueous suspension of a layered material with watersoluble silane coupling agents such as trialkoxysilanes followed by interaction with an agent of this invention. In the preferred embodiments, silane intercalated swellable/polymer compatible intercalated layered material is formed as follows: Layered materials intercalated with the

swelling/compatibilizing agent of this invention, preferably prepared as described above are suspended and swollen in a swelling organic liquid and treated with a trialkoxysilane. For example, montmorillonite intercalated with octadecylammonium cation, at about 80 mmole of ammonium cation/100 g mineral, is combined

with dioxane to form a 5% by weight suspension which is heated to 60°C and combined with a dioxane solution of aminoethylaminopropyl trimethoxysilane, such that the ratio of silane to mineral is about 20 mmole/100 g.

The silane displaces the ammonium cation quantitatively to form a mixed intercalated layered material having about 60 mmole of ammonium cation and 20 mmole of silane per 100 g of mineral layers.

In the preferred embodiments of this invention,

swellable and polymer-compatible intercalated layered
compounds include montmorillonite (Gelwhite HNF,

Southern Clay Products) complexed with
octadecylammonium cation (100 mmole/100 g mineral),
montmorillonite complexed (Volclay, American Colloids

Company) with dipentylammonium cation (100 mmole/100
g), synthetic hectorite (Laponite S, Laporte
Industries) complexed with dioctylammonium cation (80

mmole/100 g), commercially available organo clay
(Claytone APAR, Southern Clay Products),

montmorillonite complexed with octadecylammonium cation (about 80 mmole/g) and derivatized with

aminoethylaminopropyltrimethoxysilane (20 mmole/100 g), and the like.

The amount of intercalated layered material included in the mixture may vary widely depending on 5 the intended use of the composite. The amount of intercalated layered material included in the mixture is generally at least about 0.001% by weight of the composite, more preferably from about 0.01 to about 20% by weight of the composite and most preferably from about 0.1 to about 10% by weight of the composite. 10 amount of material employed in any particular situation will depend to a significant extent on the intended use. For example, relatively, larger amounts of platelet particles (exclusive of intercalant since the intercalant content in the layered material may vary), 15 i.e. from about 15% to about 30% by wgt. of the mixture, are used in applications where articles are formed by stamping. Substantially enhanced barrier properties and heat resistance (deflection temperature under load, DTUL) are imparted by platelet particle 20 concentrations greater than about 2.5%. Similarly, substantially enhanced strength is imparted by platelet particle concentrations greater than about 1.5%. When it is desired to preserve such properties as toughness (impact resistance) and elongation which are generally 25 adversely affected by high loadings of any filler material including the nano-scale layered materials of this invention, it is preferred that the silicate loading be less than about 0.5%. Particle concentration within the range 0.05 to 0.5% 30 significantly enhance modulus, dimensional stability, and wet strength (the latter in the case of polyamides). Concentrations below 0.5% can be employed to increase melt viscosity (useful in film extrusion and in fiber melt spinning) or they may be employed in 35 selected polymers to stabilize a particular crystalline phase (useful in the case of nylon 6 to stabilize the

5

35

25

gamma phase) or limit spherulite size which reduces haze and increases optical clarity. In general, the amount of material employed is less than about 60% by weight of the mixture. The amount of material employed is preferably from about 0.01% to about 20% by weight of the mixture, more preferably from about 0.05% to about 10% by weight of the mixture, and most preferably from about 0.05% to about 8% by weight.

The second essential ingredient of the flowable mixture is a melt processible polymer. Polymers for 10 use in the process of this invention may vary widely, the only requirement is that they are melt processible. As used herein, a "polymer" is a substance composed of ten or more recurring monomeric units which may be the same or different which is melt processible, preferably 15 at a temperature equal to or greater than about 220°C, preferably equal to or greater than about 230°C, more preferably from about 230° to about 320°C, and most preferably from about 240° to about 290°. In the 20 preferred embodiments of the invention, the polymer includes at least twenty recurring monomeric units. The upper limit to the number of recurring monomeric units is not critical, provided that the melt index of the polymer under use conditions is such that the 25 polymer forms a flowable mixture. More preferably, the polymer includes at least about 30 recurring monomeric units. In the most preferred embodiments of this invention the number of recurring units is such that the polymer has a melt index of from about 0.01 to 30 about 12 grams per 10 minutes at the processing temperature.

Useful polymers are thermoplastic polymers or mixtures thereof, and vulcanizable and thermoplastic rubbers. Thermoplastic resins for use in the practice of this invention may vary widely. Illustrative of useful thermoplastic resins are polylactones such as poly(pivalolactone), poly(caprolactone) and the like;

ŝ

polyurethanes derived from reaction of diisocyanates such as 1,5-naphthalene diisocyanate, p-phenylene diisocyanate, m-phenylene diisocyanate, 2,4-toluene diisocyanate, 4,4-'diphenylmethane diisocyanate, and the like and linear long-chain diols such as poly(tetramethylene adipate), poly(ethylene adipate), poly(1,4-butylene adipate), poly(ethylene succinate), polyether diols and the like; polycarbonates such as poly[methane bis(4-phenyl) carbonate], poly[1,1-ether bis(4-phenyl) carbonate], poly[diphenylmethane 10 bis(4-phenyl)carbonate], poly[1,1-cyclohexane bis(4-phenyl)carbonate] and the like; polysulfones; polyether ether ketones; polyamides such as poly (4amino butyric acid), poly(hexamethylene adipamide), poly(6-aminohexanoic acid), poly(m-xylylene adipamide), 15 poly(metaphenylene isophthalamide) (Nomex), poly(pphenylene terephthalamide) (Kevlar), and the like; polyesters such as poly(ethylene-1,5-naphthalate, poly(1,4-cyclohexane dimethylene terephthalate), poly(ethylene oxybenzoate) (A-Tell), poly(para-hydroxy 20 benzoate) (Ekonol), polyethylene terephthlate, poly(butylene terephthalate) and the like; poly(arylene oxides) such as poly(2,6-dimethyl-1,4-phenylene oxide), poly(2,6-diphenyl-1,4-phenylene oxide) and the like; poly(arylene sulfides) such as poly(phenylene sulfide) 25 and the like; polyetherimides; vinyl polymers and their copolymers such as polyvinyl alcohol, polyvinyl chloride, polyvinylidene chloride, ethylene-vinyl acetate copolymers, and the like; polyacrylics, polyacrylate and their copolymers such as polyethyl 30 acrylate, poly(n-butyl acrylate), poly(ethyl methacrylate), polyacrylamide, polyacrylonitrile, methacrylate-styrene copolymers, and the like; polyolefins such as low density poly(ethylene), poly(propylene), poly(4-methyl-1-pentene), 35 poly(styrene), and the like; ionomers; poly(epichlorohydrins); poly(urethanes) such as the

priymerization product of diols such as glycerin, trimethylol-propane, 1,2,6-hexanetriol, and the like with a polyisocyanate such as 2,4-tolylene diisocyanate 2,6-tolylone diisocyanate 4,44-

27

diisocyanate, 2,6-tolylene diisocyante, 4,4'
diphenylmethane diisocyanate, 1,6-hexamethylene
diisocyanate, 4,4'-dicycohexylmethane diisocyanate and
the like; and polysulfones such as the reaction product
of the sodium salt of 2,2-bis(4-hydroxyphenyl) propane
and 4,4'-dichlorodiphenyl sulfone; furan resins such as
poly(furan); cellulose ester plastics such as cellulose
acetate, cellulose acetate butyrate, cellulose
propionate and the like; silicones such as
poly(dimethyl siloxane), poly(dimethyl siloxane),

poly(dimethyl siloxane co-phenylmethyl siloxane), and the like; protein plastics; and blends of two or more of the foregoing.

Vulcanizable and thermoplastic rubbers useful in the practice of this invention may also vary widely. Illustrative of such rubbers are brominated butyl 20 rubber, chlorinated butyl rubber, polyurethane elastomers, fluoroelastomers, polyester elastomers, butadiene/acrylonitrile elastomers, silicone elastomers, poly(butadiene), poly(isobutylene), ethylene-propylene copolymers, ethylene-propylene-diene terpolymers, sulfonated ethylene-propylene-diene 25 terpolymers, poly(chloroprene), poly(2,3dimethylbutadiene), poly(butadiene-pentadiene), chlorosulphonated poly(ethylenes), poly(sulfide) elastomers, block copolymers, made up of segments of 30 glassy or crystalline blocks such as poly(styrene), poly(vinyl-toluene), poly(t-butyl styrene), polyester and the like and the elastomeric blocks such as poly(butadiene), poly(isoprene), ethylene-propylene copolymers, ethylene-butylene copolymers, polyether

ester and the like as for example the copolymers in poly(styrene)-poly(butadiene)-poly(styrene) block

35

15

20

25

30

copolymer manufactured by Shell Chemical Company under the trade name of Kraton®

In the preferred embodiments of the invention, the polymers of choice are polymers and copolymers of olefins, polyesters, polyamides and blends thereof. In the particularly preferred embodiments of the invention, polymers and copolymers of ethylene and propylene (preferably polyethylene) and poly(propylene) and more preferably polyethylene, polyamides (preferably nylon 6 and nylon 66 and more preferably nylon 6), and blends thereof are used.

The particular preferred polyamide useful in the preferred embodiments of the invention has a melt index from about 0.01 to about 10 grams/10 minutes, and preferably from 0.5 to 10 grams/10 minutes as measured by ASTM Test No. D-1238 at a load of 1000 grams at 235°C. Amongst these particularly preferred embodiments, most preferred are those embodiments in which the polyamide is nylon 6 or nylon 66, with nylon 6 being the polyamide of choice. The particularly preferred homopolymers or copolymers of ethylene and propylene have a melt index of from about 0.01 to about 1.0 grams per 10 minutes, preferably from about 0.05 to about 0.8 grams per 10 minutes as measured according to ASTM Test No. D1238 at a load of 2160 grams at 190°C. Amongst these particularly preferred embodiments, most preferred are those in which the polymer is polyethylene or polypropylene, with polyethylene being the polymer of choice.

The mixture may include various optional components which are additives commonly employed with polymers. Such optional components include nucleating agents, fillers, plasticizers, impact modifiers, chain extenders, plasticizers, colorants, mold release lubricants, antistatic agents, pigments, fire retardants, and the like. These optional components and appropriate amounts are well known to those of

PCT/US92/06732 WO 93/04117

skill in the art, accordingly, only the preferred optional components will be described herein in detail.

29

In the second step of the process of this invention, the flowable mixture is subjected to a shear having an "effective shear rate". As used herein, an "effective shear rate" is a shear rate [as shear rate is defined in Ferdinand Rodriguez, "Principles of Polymers Systems", McGraw-Hill Book Company, New York (1982)] which is effective to delaminate at least about 90% by weight of the intercalated material to form 10 platelet particles described above, and provide a composition comprising a polymeric matrix having platelet particles substantially homogeneously dispersed therein. In the preferred embodiments of the invention, the shear rate is greater than about 10 sec 15 1. In these preferred embodiments of the invention, the upper limit for the shear rate is not critical provided that the shear rate is not so high as to physically degrade the polymer. In the particularly preferred embodiments of the invention, the shear rate 20 is from greater than about 10 sec-1 to about 20,000 sec-1, and in the most preferred embodiments of the invention the shear rate is from about 100 sec1 to about 10,000 sec-1.

25 Any method which can be used to apply a shear to a flowable mixture or any polymer melt can be used. The shearing action can be provided by any appropriate method, as for example by mechanical means, by thermal shock, by pressure alteration, or by ultrasonics. 30 Methods useful in shearing melts are known in the art, and will not be described in great detail. particularly useful procedures, the flowable polymer mixture is sheared by mechanical methods in which portions of the melt are caused to flow past other 35 portions of the mixture by use of mechanical means such as stirrers, Banbury® type mixers, Brabender® type mixers, long continuous mixers, and extrudes. Another

15

20

30

35

procedure employs thermal shock in which shearing is achieved by alternatively raising or lowering the temperature of the mixture causing thermal expansions and resulting in internal stresses which cause the shear. In still other procedures, shear is achieved by sudden pressure changes in pressure alteration methods; by ultrasonic techniques in which cavitation or resonant vibrations which cause portions of the mixture to vibrate or to be excited at different phases and These methods of shearing thus subjected to shear. flowable polymer mixtures and polymer melts are merely representative of useful methods, and any method known in the art for shearing flowable polymer mixtures and polymer melts may be used.

In the preferred embodiments of the invention, mechanical shearing methods are employed such as by extrusion, injection molding machines, Banbury® type mixers, Brabender® type mixers and the like. more preferred embodiments of the invention, shearing is achieved by introducing the polymer melt at one end of the extruder (single or double screw) and receiving the sheared polymer at the other end of the extruder. The temperature of the polymer melt, the length of the extruder, residence time of the melt in the extruder 25 and the design of the extruder (single screw, twin screw, number of flights per unit length, channel depth, flight clearance, mixing zone etc.) are several variables which control the amount of shear to be applied.

Upon subjecting a flowable mixture of said swellable intercalated layered material and said polymer melt to shear mixing, at least about 80% by weight, preferably at least about 85% by weight, more preferably at least about 90% by weight and most preferably at least about 95% by weight of the layers of the material delaminate to form platelet particles substantially homogeneously dispersed in the polymer

matrix. As used herein, "platelet particles" are particles having two relatively flat opposite faces wherein the thickness of which is the distance between the faces, which is relatively small compared to the size of the faces. As formed by this process, the platelet particles dispersed in matrix polymers have the thickness of the individual layers, or small multiples less than about 10, preferably less than about 5 and more preferably less than about 3 of the 10 layers, and still more preferably 1 or 2 layers. the preferred embodiments of this invention, intercalation of every interlayer space is complete so that all or substantially all individual layers delaminate one from the other to form separate platelet 15 particles. In cases where intercalation is incomplete between some layers, those layers will not delaminate in the polymer melt, and will form platelet particles comprising those layers in a coplanar aggregate. latter platelet particles still constitute nanoscale 20 and nanodispersed fillers and provide enhanced properties over and above those provided by conventional micro-scale fillers, as long as they are less than about 10 layers thick and preferably less than 5 layers thick. The other dimensions of the 25 platelet particles may vary greatly, but in the case of particles derived from clay minerals, the particle faces are roughly round or oblong having average diameters between about 10,000 Å and about 50 Å, such that the aspect ratio length/thickness ranges from 30 about 1000 to about 1. For the purposes of the present invention, the average diameter is defined as the diameter of a circle having an area equal to the surface area of one broad surface face of the platelet shaped particle. The average diameter is determined 35 from particle surface area as measured with a Leitz

Texture Analyzer System in a fully computerized and automated mode. In the preferred embodiments of the

15

30

35

invention the average thickness of the platelet particles is equal to or less than about 20 Å and the average diameter is between 5,000 Å and 100 Å. Most preferably the average thickness is about 10 Å. most preferred average diameter depends on both the desired property of the nanocomposite and the ease of complete intercalation and delamination to form the nanocomposite structure. High aspect ratios, and therefore large average diameters, are generally preferred for reinforcement and barrier properties, while layered materials having smaller platelets are preferred for their ease of delamination. purposes of the nanocomposite properties, the most preferred average diameter is greater than about 150 Å, and, for purposes of delamination, it is less than about 3000 Å.

The average interparticle spacing between delaminated layers may vary widely after shearings, depending on the concentration of layered material. In general the higher the concentration of layered 20 material in the polymer matrix particle the smaller the interparticle spacing; and conversely, the lower the concentration of layered material, the larger the interparticle spacing. In general, interparticle spacing is equal to or greater than 15Å. 25 interparticle spacing is preferably equal to or greater than about 20Å more preferably equal to or greater than about 30Å and most preferably equal to or greater than about 50Å.

As used herein "uniformly dispersed" is defined as a degree of dispersion of the platelet shaped particles having a standard deviation in platelet particle density, down to a sampling volume of 10⁻¹⁵m³, which is preferably less than about 50% of the mean, more preferably less than about 30% of the mean, and most preferably less than about 20% of the mean as

33

electron microscopy.

The process of this invention is preferably carried out in the absence of air, as for example in the

5 presence of an inert gas, such as, argon, neon, nitrogen or the like. The process can be carried out in a batchwise or discontinuous fashion, as for example, carrying out the process in a sealed container. Alternatively, the process can be carried out in a continuous fashion in a single processing zone, as for example by use of an extruder, from which air is largely excluded, or in a plurality of such reaction zones in series or parallel.

The nanocomposites of this invention exhibit useful properties which are superior to those predicted by 15 U.S. Patent Nos. 4,739,007 and 5,810,734. Various useful performance indices can be devised in order to jointly assess different performance aspects using a single number. Depending upon the nature of the devised index, comparisons can be made either between 20 samples containing the same loading of layered material or the index can be more broadly applied to polymers having different loadings of clay. For example, the effect of adding nanoscale particulate fillers 25 dispersed platelet particles to a polymer typically increases tensile modulus and ultimate tensile strength while decreasing ultimate elongation. In the case where combinations of high modulus (Y) and high ultimate elongation (AL/L) are required, a useful performance index for comparing samples with similar 30 particulate loading is $Y(\Delta L/L)$. Likewise, when tensile strength(S) combined with high ultimate elongation is sought, a useful performance index for comparing samples with similar loading levels is $S(\Delta L/L)$. The preferred embodiments of the present invention provide a Y(AL/L) of above about 660 MPa (preferably equal to or greater than about 800 MPa more preferably equal to

or greater than about 1000 MPa and most preferably equal to or greater than about 1200 MPa) for a loading of about 2% of nanodispersed layered material such as montmorillonite in a melt processible polymer such as nylon 6. In contrast, the prior art patents on inreactor nanocomposite blends (U.S. 4,739,007 and U.S. 4,810,734) provide a maximum Y(\(L/L\) of about 660 MPa. Also, preferred embodiments of the present invention provide a S(Δ L/L) of above about 20 MPa (preferably equal to or greater than about 25 MPa and more 10 preferably equal to or greater than about 30 MPa) for a loading of about 2% intercalated layered material such as montmorillonite, while the maximum value for this figure of merit obtained in the above mentioned inreactor process patents is about 20 MPa at 2% loading. 15 While we do not wish to be bound by any theory, it is believed that the unique properties of the nanocomposites of this invention result from the use of swelling/compatibilizing agents which do not react to become part of the main chain of the matrix polymer (as 20 do the swelling agents preferred in the prior art U.S. 4,739,007 and U.S. 4,810,734) when used in the inreactor processes. Instead, the preferred swelling/compatibilizing agents of this invention, which remain bonded to the particle surface, interact 25 with the matrix polymer in one or more of the following ways: (1) covalent bond formation to form branches on the main chains of the matrix polymer, (2) hydrogen, ion-dipole, and dipole-dipole bonding with portions of the matrix polymer, and (3) Van der Waals attraction 30 and entanglement with the matrix polymer. Nanocomposites having particularly attractive combinations of modulus, tensile strength and ultimate elongation are formed using swelling/compatibilizing agents, under category (3) above, which are bonded to 35 the particle surface, and whose polymer-interacting moiety projects away from the particle surface and is

only weakly bonded to the matrix polymer. function is best performed by secondary ammonium compounds of the formula 'NH₂R, primary ammonium compounds of the formula: 'NH2R2R3 and quaternary phosphonium compounds of the formula 'PR_R_R_R_ of the 5 type having a lipophilic moiety such that the surface tension, at 20°C, of the derivatized particle is less than about 55 dyne/cm, preferably between about 55 and 15 dyne/cm, and more preferably between about 45 and 20 10 dyne/cm, as determined by measuring the contact angles made by sessile drops of liquids on solid surfaces. Illustrative of these preferred secondary ammonium compounds, primary ammonium compounds and quaternary phosphonium of the formula:

*NH3R1, NH2R2R3 or *PR4R5R6R7
wherein R1, at least one of R2 and R3 or at least one of R4, R5, R6 and R7 is alkyl, alkenyl, cycloalkyl, alkoxyalkyl, alkynyl, phenylalkyl, alkoxyphenyl, alkenylphenyl, phenylalkenyl, phenyalkynyl, alkynylphenyl or the like, preferably alkyl which include sufficient number of aliphatic carbon atoms such that the radical on the particle has a surface tension at 20°C of less than about 55 dyne/cm.

The nanocomposite compositions according to the 25 invention are thermoplastic and, in some cases, vulcanizable materials from which molded articles of manufacture having valuable properties can be produced by conventional shaping processes, such as melt spinning, casting, vacuum molding, sheet molding, 30 injection molding and extruding. Examples of such molded articles are components for technical equipment, apparatus castings, household equipment, sports equipment, bottles, containers, components for the electrical and electronics industries, car components, 35 circuits, fibers, semi-finished products which can be shaped by machining and the like. The use of the materials for coating articles by means of powder

36

coating processes is also possible, as is their use as hot-melt adhesives. The molding compositions according to the invention are outstandingly suitable for specific applications of all types since their spectrum of properties can be modified in the desired direction in manifold ways. Such molded products of this invention will derive one or more advantages over products molded with polymers having no nanodispersed platelet particles including increased modulus, stiffness, wet strength, dimensional stability, and heat deflection temperature, and decreased moisture absorption, flammability, permeability, and molding cycle time.

10

35

The molding compositions according to the invention are outstandingly suitable for the production of sheets 15 and panels having valuable properties. Such sheets and panels may be shaped by conventional processes such as vacuum processing or by hot pressing to form useful objects. The sheets and panels according to the invention are also suitable as coating materials for 20 other materials comprising, for example, wood, glass, ceramic, metal or other plastics, and outstanding strengths can be achieved using conventional adhesion promoters, for example, those based on vinyl resins. The sheets and panels can also be laminated with other 25 plastic films and this is preferably effected by coextrusion, the sheets being bonded in the molten state. The surfaces of the sheets and panels, including those in the embossed form, can be improved or finished by conventional methods, for example by lacquering or by 30 the application of protective films.

The compositions of this invention are especially useful for fabrication of extruded films and film laminates, as for example, films for use in food packaging. Such films can be fabricated using conventional film extrusion techniques. The films are preferably from about 10 to about 100 microns, more

37

preferably from about 20 to about 100 microns and most preferably from about 25 to about 75 microns in thickness. In the film, the major plane of the platelet fillers is substantially parallel to the major plane of the film. The extent of parallelism of particles and film can be determined by X-ray analysis. X-ray analysis is a useful way to described the crystallinity and orientation of polymer crystals and the orientation of platelet particles. A convenient method of X-ray analysis is that described in Hernans, P.H. and Weidinger A., Makromol Chemie, Vol. 44, pp. 24-36 (1961), hereby incorporated by reference.

For the purpose of the present invention Op, the platelet orientation factor, is an indication of the platelet particle orientation in the film. The Op was 15 determined by making azimuthal scans from densitometer tracings of the X-ray photographs which were obtained by exposing the edge of the film to the incident Xrays. The angle is the angle between the reference direction, the normal to the film, and the normal to 20 the plane of interest, the major plane of the platelet. The Op values were calculated as the average cosine square ($<\cos^2>$) for the normal to the flat faces of the platelet particles. An Op of 1.0 indicates that the faces of the platelets are completely parallel to the 25 plane of the film. An Op of 0.0 indicates that the faces of the platelets are perpendicular to the plane of the film. The Op of the platelets in the film of the present invention is preferably from about 0.70 to about 1.0, more preferably from about 0.90 to about 1.0 30 and most preferably from about 0.95 to about 1.0. Such preferred orientation of platelet particles results in enhanced barrier properties and increased tare strength.

35 The homogeneously distributed platelet particles and polymer are formed into a film by suitable film-forming methods. Typically, the composition is melted

ě

5

10

15

20

25

35

and forced through a film forming die. The die can be a flat die or a circular die. A typical flat die is a hanger shaped die, and a typical circular die is a tubular film die.

The film of the nanocomposite of the present invention may go through steps to cause the platelets to be further oriented so the major planes through the platelets are substantially parallel to the major plane through the film. A method to do this is to biaxially stretch the film. For example, the film is stretched in the axial or machine direction by tension rollers pulling the film as it is extruded from the die. film is simultaneously stretched in the transverse direction by clamping the edges of the film and drawing them apart. Alternatively, the film is stretched in the transverse direction by using a tubular film die and blowing the film up as it passes from the tubular film die. The films of this invention may exhibit one or more of the following benefits: increased modulus, wet strength, and dimensional stability, and decreased moisture adsorption and permeability to gases such as oxygen and liquids such as water, alcohols and other solvents.

The following specific examples are presented to more particularly illustrate the invention and are not to be construed as limitations thereon.

EXAMPLE 1

A nylon 6 nanocomposite of a layered material
derivatized with a secondary ammonium cation was
prepared by compounding, in a melt extrusion process,
nylon 6 (Capron 8209F obtained from Allied-Signal) with
4% (w/w) of montmorillite derivatized with dipentyl
ammonium cation.

The organoclay was prepared by combining an aqueous solution of dipentyl ammonium chloride with a 5% aqueous dispersion of montmorillonite (Gel White HNF,

39

obtained from Southern Clay Products) at about 80°C with high shear mixing. The stoichiometry of the exchange reaction was 0.125 mole of the dipentyl ammonium chloride per 100g of clay. The organoclay flocculated immediately and, after standing overnight at room temperature, was collected by filtration. organoclay was washed 4 times with hot water until free of chloride ions, dried in air at 120°C, ground to pass through a 75 micron sieve, and further dried at 120°C 10 (full vacuum) for 18 hours just prior to compounding. Samples of the montmorillonite-dipentyl ammonium cation complex powder were evaluated by thermogravimetric analysis at a heating rate of 10 degree C per minute in inert atmosphere. The apparent decomposition 15 temperature and the extent of decomposition during

heating to 300°C are set forth in Table 1.

TABLE 1
THERMOGRAVIMETRIC ANALYSIS OF
INTERCALATED MONTMORILLONITES

Exp. No.	swelling/compatibilizing cation	decomposition onset temperature (C)	weight loss between 100 and 300°C (% of total onium ion content)
1	dipentyl ammonium (secondary)	275	5.6

25

30

35

20

a. Claytone APA (montmorillonite complex) obtained from Southern Clay Products.

According to the results summarized in Table 1, the montmorillonite complex of the secondary ammonium cation was more thermally stable than either the tertiary or the quaternary ammonium cation complexes. The montmorillonite-dipentyl ammonium cation complex powder was dry mixed with nylon 6 pellets, and this mixture was extruded using a Leistritz twinscrew extruder equipped with general purpose screws. The

PCT/US92/06732 WO 93/04117

40

extruder was adjusted as follows: heat zones 1 - 9 at 220-230°C, die 250°C, RPM 250. The pelletized extrudate was molded into test samples. Dry as molded samples were tested for their tensile properties according to the procedures of ASTM D638, and for their heat deflection temperature under a load of 264 psi (DTUL 264 psi) according to the procedures of ASTM The results are set forth in Table 2.

TABLE 2 NYLON 6-MONTMORILLONITE NANOCOMPOSITES

10

Exp. No.	swelling /compatibilizing agent	weight \$ montmorillanite	Tensile Modulus, psi (MPs)	Tensils Strength, psi (MPa)	Ultimate Elongation %	DTUL 264 psi, C
1	dipentyl ammonium	2.95	489,300 (3,370)	11,600 (80)	44	72

a. Determined by Loss on Ignition.

According to the results summarized in Table 2, the montmorillonite complex of the secondary ammonium cation imparted superior elongation along with comparable tensile modulus, tensile yield strength, and heat deflection under load to those exhibited by nylon 6 nanocomposites of montmorillonite complexed with an acidic omega-aminoacid.

25

30

20

15

COMPARATIVE EXAMPLE 1

A tertiary alkylammonium cation complex of montmorillonite was prepared according to the procedure of Example 1 except that an aqueous solution of dimethyldodecylamine hydrochloride was added to the montmorillonite dispersion. The dried, powdered complex was tested by thermogravimetric analysis, and the results are set forth in Table 3. For comparison purposes, a quaternary alkylammonium cation complex obtained from Southern Clay Products Inc. under the Trademark Claytone APA was also subjected to thermogravimetric analysis. The results for these

41

analysis together with the thermogravimetric results from Table 1 of Example 1 are set forth in Table 3.

TABLE 3

THERMOGRAVIMETRIC ANALYSIS OF INTERCALATEDMONTMORILLONITES

Exp. No.	swelling/ compatibilizing cation	decomposition onset temperature (C)	weight loss between 100 and 300°C (% of total onium ion content)
1	dipentyl ammonium (secondary)	275	5.6
2	dimethyldodecyl ammonium (tertiary)	190	27.8
3	quaternary alkylammonium	220	38.5

a. Claytone APA (montmorrillonite complex) obtained from Souther Clay Products.

15

20

25

10

5

COMPARATIVE EXAMPLE 2

A nylon 6 nanocomposite of a layered material derivatized with an acidic omega-aminoacid cation was prepared by compounding, in a melt extrusion process, nylon 6 with about 3% (w/w) of montmorillite derivatized with protonated 11-aminoundecanoic acid cation. The montmorillonite complex was prepared, compounded, molded and tested according to the procedure of Example 1 except that an aqueous solution of 11-aminoundecanoic acid hydrochloride was used. results of the tests are set forth in Table 4. For comparison purposes, nylon 6 was subjected to analysis of ultimate elongation, tensile modulus, tensile strength, and heat deflection under load. results, together with comparable data for nylon 6 having montmorillnite dipentyl ammonium cation complex are set forth in the following Table 4.

5

42

TABLE 4

NYLON 6-MONTMORILLONITE NANOCOMPOSITES

Exp. No.	swelling/com patibilizing agest	weight % montmorillon its*	Tensile Modulus, psi (MPs)	Tensile Strength, psi (MPs)	Ultimate Elongation %	DTUL 264 psi, C
1	dipentyl ammonium	2.95	489,300 (3.370)	11,600 (80)	44	72
2	acidic 11- amino- undecanoic acid	2.5	498,000 (3,440)	11,600 (80)	12	75
3	Nyloa 6	0	350,000 (2,414)	9,500 (66)	26	55

a. Determined by Loss on Ignition.

15

10

According to the results summarized in Table 4, the
20 montmorillonite complex of the secondary ammonium
cation imparted superior elongation along with
comparable tensile modulus, tensile yield strength, and
heat deflection under load to those exhibited by nylon
6 nanocomposites of montmorillonite complexed with an
25 acidic omega-amino acid.

WHAT IS CLAIMED IS:

1. Composite material comprising a polymer matrix which comprises a polymer matrix and dispersed platelet particles having an average thickness of less than 50 Å and a maximum thickness of about 100 Å, and having an onium chemical species bonded to them, said chemical species selected from the group consisting of onium compounds of the formula:

 $^{+}NH_{3}R_{1}$, $^{+}NH_{2}R_{2}R_{3}$ and $^{+}PR_{4}R_{5}R_{6}R_{7}$

10 wherein:

 $R_{\rm i}$ is an organic radical having at least about 12 aliphatic carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof;

- R_2 and R_3 are the same or different at each occurrence and are organic radicals at least one of which having at least about 5 carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof; and
- R₄, R₅, R₆ and R₇ are the same or different and are organic radicals at least one of which has eight or more aliphatic carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof, said platelet particles being present in an amount less than about 60% by weight of the composite material.
 - 2. Composite material of claim 1, wherein said platelet particles are derived from phyllosilicates.
- 3. Composite material of claim 2, wherein said phyllosilicates are smectites clay minerals.
 - 4. Composite material of claim 3, wherein said swelling/compatibilizing agent is selected from the group consisting of primary and secondary ammonium cationic complexes of the formula:
- $^{\dagger}NH_3R_1 \text{ or } ^{\dagger}NH_2R_2R_3$

Wherein:

Ŀ

3

5

10

15

 $R_{\rm l}$ is an aliphatic radical having at least about 15 carbon atoms, said aliphatic radical optionally includes one or more heteroatoms, carbonyl functions or a combination thereof; and

 R_2 and R_3 are the same or different and are aliphatic radicals having at least about five aliphatic carbon atoms or R_2 and R_3 together may form a divalent aliphatic chain having at least about five aliphatic carbon atoms, said aliphatic radicals and chain may optionally include one or more heteroatoms, carbonyl functions or a combination thereof.

5. Composite material of claim 4, wherein:

 R_1 , R_2 and R_3 are the same or different and are cycloalkyl, cycloalkenyl, cycloalkynyl, alkyl, alkenyl, alkynyl or a moiety of the formula:

$$-R_{11}-Z_1-R_{12}$$

or R₂ and R₃ together may form a divalent moiety of the formula:

$$-R_{11}-$$
, $-R_{11}-Z1-R_{11}-$ or $-R_{11}-Z_1-$

20 completing an atcyclic ring, wherein:

-R_{II}- is the same or different at each occurrence and is divalent alkylene, cycloalkylene, cycloalkenylene, alkenylene or alkynylene;

R₁₂ is alkyl, alkylaryl, alkoxyaryl, alkenyl,

25 alkynyl, aryl, cycloalkyl, or cycloalkenyl;

 $-Z_1$ - is -O-, $-NR_{13}$ -, $-+N(R_{13})_2$ -, -S-, -S(O)₂, -OC(O)- or $-N(R_{13})$ C(O) - and

 R_{13} is hydrogen or alkyl having from 1 to about 4 carbon atoms.

- 30 6. Composite material as defined in claim 1, wherein said matrix polymer is selected from the group consisting of polyamides; polyesters; polycarbonates; celluloses; polyolefins; phenolics; poly(urethanes); poly(sulfones); poly(etheretherketones);
- poly(esteramides); poly(phenylenesulfides);
 poly(amideimides); polyacetals; poly(alkylene oxides);
 poly(phenylene oxides); and poly(imides).

- 7. Composite material of claim 6, wherein said polymeric matrix comprises a polyamide, a polyester, a polyolefin, or a combination thereof.
- 8. Composite material of claim 7, wherein said polymeric matrix comprises poly(ethylene terephthalate), poly(ethylene naphthalate), polyethylene, polypropylene, nylon 6, nylon 6,6, nylon 12 or a combination thereof.
- 9. An article of manufacture comprising a body,
 10 said body fabricated, totally or in part, from a
 composite material comprising a polymer matrix which
 comprises a heat processible polymer and dispersed
 platelet particles having an average thickness of less
 than 50 Å and a maximum thickness of about 100 Å, and
 15 having an onium chemical species bonded to them, said
 chemical species selected from the group consisting of
 onium compounds of the formula:

 $^{+}NH_{3}R_{1}$, $^{+}NH_{2}R_{2}R_{3}$ and $^{+}PR_{4}R_{5}R_{6}R_{7}$

wherein:

R₁ is an organic radical having at least about more 12 aliphatic carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof;

R₂ and R₃ are the same or different at each

occurrence and are organic radicals having at least about 5 carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof; and

R₄, R₅, R₆ and R₇ are the same or different and are organic radicals at least one of which has 8 or more aliphatic carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof, said platelet particles being present in an amount less than about 60% by weight of the composite material.

PCT/US92/06732

10

15

20

25

30

35

- 10. A process for forming a polymeric composite which comprises platelet particles dispersed in a polymeric matrix, said process comprising the steps of:
- (a) forming a flowable mixture comprising a polymer melt at a temperature equal to or greater than about 220°C and a swellable and polymer-compatible intercalated layered material having an onium species is bonded to the surface of the layers of said material said onium species of the formula:

 $^{+}NH_{3}R_{1}$, $^{+}NH_{2}R_{2}R_{3}$ and $^{+}PR_{4}R_{5}R_{6}R_{7}$

wherein:

 $R_{\rm l}$ is an organic radical at least about 12 aliphatic carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof;

 R_2 and R_3 are the same or different at each occurrence and are organic radicals at least one of which having at least about 5 carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof; and

- R_4 , R_5 , R_6 and R_7 are the same or different and are organic radicals at least one of which has eight or more aliphatic carbon atoms and optionally including one or more heteroatoms, carbonyl functions or a combination thereof, said platelet particles being present in an amount less than about 60% by weight of the composite material; and
- (b) subjecting said mixture to a shear having a shear rate which is sufficient to dissociate all or a portion of said layers to form platelet particles having an average thickness of less than about 50 Å and to uniformly disperse said platelet particles in said polymer to form said polymer composite wherein said platelet particles are uniformly dispersed in said matrix.

International Application Ho

I. CLASSIFICATION OF SUBJECT MATTER (il several classification symbols apply, indicate all) 4							
According to International Patent Classification (IPC) or to both National Classification and IPC							
(PC ⁵ : C 08 K 3/34,C 08 L 77/00,C 08 L 23/02							
II. FIELOS SEARCHEO							
Minimum Documentation Searched 1							
Classification System Classification Symbols							
IPC ⁵							
	Documentation Searched other to the Extent that such Document	than Minimum Documentation is are included in the Fields Searched ⁴					
	MENTS CONSIDERED TO BE RELEVANT		101				
Cetebory .	Citation of Document, 15 with Indication, where ap	propriate, of the relevant passages 12	Relevent to Claim No. 13				
P,X	EP, A1, 0 459 472 (KABUSHIKI KAISHA KENKYUSHO) 04 Dece (04.12.91), see page 3, line 3 line 38; examples;	ember 1991 - page 4,	1-10				
x	US, A, 4 889 885 (USUKI et al.) 26 December 1989 (see column 3, line column 9, line 41; claims.	1-10					
A	Polymer Preprints, vol April`1991, Americ Soc. KAZUHISA YANO et a sis and properties mide-clay hybrid", see Totality.	1-10					
*Special categories of cited documents: 19 "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date invention of filing date earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is clied to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, eshibition or other means "P" document published orior to the international filing date but later than the priority date claimed "A" document published after the international filing date but later than the priority date claimed "X" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such document is combined with one or more other such document is combined being obvious to a person skilled in the art. "4" document member of the same patent family							
IV. CERTIF	TCATION						
Date of the	erch Report						
International	03 November 1992	Signature of Authorized Officer	0 V· 1992.				
		TENGLER e.h.					
EUROPEAN PATENT OFFICE LINGLER e.n.							

111 50	-2- CUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEE	Τ) ,
Category *	Citation of Document, 19 with Indication, where appropriate, of the relevant passages	Relevant to Claim No.
A	EP, A1, 0 351 337 (RHONE-POULENC CHIMIE) 17 January 1990 (17.01.91), see examples; claims.	1-10
	·	
	•	

ANHANG

ANNEX

NNEXE

zum internationalen Recherchen-bericht über die internationale Patentanmeldung Nr.

to the International Search Report to the International Patent - Application No.

au rapport de recherche inter-national relatif à la demande de brevet international n°

PCT/US 92/06732 SAE 63495

In diesem Anhang sind die Mitglieder der Fatentfamilien der im obenge-

This Annex lists the patent family members relating to the patent documents nannten internationalen Recherchenbericht cited in the above-mentioned inter-angeführten Patentdokumente angegeben. national search report. The Office is Diese Angaben dienen nur zur Unter-richtung und erfolgen ohne Gewähr. which are given merely for the purpose of information.

La présente annexe indique les membres de la famille de brevets relatifs aux documents de brevets cités dans le rapport de recherche inter-national visée ci-dessus. Les reseigne-ments fournis sont donnés à titre indicatif et n'engagent pas la responsibilité de l'Office.

. Im Recherchenbericht angeführtes Patentdokument Patent document cited in search report Document de brevet cité dans le rapport de recherche		s Patentdokument document cited rch report de brevet cité	Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication	
EP	A1	459472	04-12-91	JP A2 4033955	05-02-92	
us	А	4887885	26-12-89	JP A2 1198645 DE A1 3806548 JP A2 63221168 JP A2 63215775	10-08-89 15-09-88 14-09-88 08-09-88	
EF	A1	351337	17-01-90	BR A B903428 CN A 1039251 DK A0 3446/89 DK A 3446/89 FR A1 2634215 JP A2 2055745 US A 4994518	13-02-90 31-01-90 12-07-89 13-01-90 19-01-90 26-02-90 19-02-91	